

016336PTO/SB/21 (09-04)

		I Analisada Alexandra		016336PTO/SB/21 (09-04)		
OIPE	`a	Application Number	10/	/801,078		
∕° TR	ANSMITTAL	Filing Date	Ма	arch 15, 2004		
ARD 2 4 200E	YFORM	First Named Inventor	Pa	lczewski, Krzysztof		
APR 2 1 2005	ي د	Art Unit	16	14		
(to be used for	દું all correspondence after initial filing)	Examiner Name	Un	assigned		
ANE ME of	Pages in This Submission 1	Attorney Docket Number	010	6336-002020US		
		NCLOSURES (Check	all that apply	After Allowance Communication to TC		
Fee Trans	smittal Form	Drawing(s)				
Fe	ee Attached	Licensing-related Papers		Appeal Communication to Board of Appeals and Interferences		
Amendme	ent/Reply	Petition		Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)		
	fter Final	Petition to Convert to a		Proprietary Information		
一	ffidavits/declaration(s)	☐ Provisional Application☐ Power of Attorney, Revoc	ation			
\neg		Change of Correspondent		Status Letter Other Enclosure(s) (please identify		
Extension	of Time Request	Terminal Disclaimer		below):		
Express A	Abandonment Request	Request for Refund		Power of Attorney (two); Statement under 37 CFR 3.73(b), with assignment document		
Informatio	on Disclosure Statement	CD, Number of CD(s)		attached (two); Return Postcard		
Landscape Table on CD				·		
Certified C	Copy of Priority			ized to charge any additional fees to Deposit		
Document		Account 20-1430).			
	Missing Parts/ Incomplete					
Application Re	n eply to Missing Parts					
	nder 37 CFR 1.52 or 1.53					
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-	SIGNATUR	RE OF APPLICANT, AT	ORNEY,	OR AGENT		
Firm Name	Townsend and Townsend	and Crew LLP				
Cinnatura	1111111	7. 1		<u> </u>		
Signature	1 Myholen V7	Shahin				
Printed name Nicholas V. Sherbina						
Doto						
Date April 19 , 2005			Reg. No.	54,443		
7	CERT	IFICATE OF TRANSMIS	SSION/MA	ILING		
	nat this correspondence is being dised to: Commissioner for Patents,			ice with sufficient postage as first class mail in an on on the date shown below.		
Signature	MA	well				
Typed or printed i	name Jennifer M. Smole	n		Date April 192005		

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POWER OF ATTORNEY and CORRESPONDENCE ADDRESS INDICATION FORM

Application Number	10/801,078			
Filing Date	March 15, 2004			
First Named Inventor	Krzysztof Palczewski et al.			
Title	STABILIZED MUTANT OPSIN PROTEINS			
Art Unit	1614			
Examiner Name	Unassigned			
Attorney Docket Number	16336-002020US			

Practitioners associated with the Customer Number OR Practitioner(s) named below: Name Registration Number Name Name Registration Number Name Name Registration Number Name Name Name Registration Number Name Name	I hereby a	ppoint:					
OR Practitioner(s) named below: Name	Practit	ioners asso	ociated with the Customer Number	203	50		
Name Registration Number Name Registration Number Registration Number	OR						
as my/our attorney(s) or agent(s) to prosecute the application identified above, and to transact all business in the United States Patent and Trademark Office connected therewith. Please recognize or change the correspondence address for the above-identified application to: The address associated with the above-mentioned Customer Number:	Practil	ioner(s) na	med below:				
Patent and Trademark Office connected therewith. Please recognize or change the correspondence address for the above-identified application to: The address associated with the above-mentioned Customer Number: OR The address associated with Customer Number: OR Firm or Individual Name Address City State ZIP Country Telephone Fax I am the: Applicant/Inventor. Assignee of record of the entire interest. See 37 CFR 3.71. Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96). SIGNATURE of Applicant or Assignee of Record Name Fional. Wills, Technology Manager Signature Date April 5, 2005 Telephone (206) 543-3970 NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below.	_				Registration	Number	\neg
Patent and Trademark Office connected therewith. Please recognize or change the correspondence address for the above-identified application to: The address associated with the above-mentioned Customer Number: OR The address associated with Customer Number: OR Firm or Individual Name Address City State ZIP Country Telephone Fax I am the: Applicant/Inventor. Assignee of record of the entire interest. See 37 CFR 3.71. Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96). SIGNATURE of Applicant or Assignee of Record Name Fional. Wills, Technology Manager Signature Oate April 5, 2005 Telephone 1 Telephone 1 Telephone 1 Telephone 1 Telephone 1 Telephone 1 Telephone 2 Telephone 3 Telephone Country Telephone 1 Telephone Country Telephone 1 Telephone Country Telephone Country							\neg
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The address associated with Customer Number: OR	as my/our a Patent and	attorney(s) o Trademark	or agent(s) to prosecute the application Office connected therewith.	n identified	above, and to trai	nsact all busine	ss in the United States
OR ☐ Firm or Individual Name Address Address City Country Telephone Fax I am the: ☐ Applicant/Inventor. ☑ Assignee of record of the entire interest. See 37 CFR 3.71. Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96). SIGNATURE of Applicant or Assignee of Record Name Fiona		-	•			tion to:	
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Address Address City State ZIP Country Telephone Fax I am the: Applicant/Inventor. Assignee of record of the entire interest. See 37 CFR 3.71. Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96). SIGNATURE of Applicant or Assignee of Record Name Fiona Mills, Technology Manager Signature Date April 5, 2005 Telephone (206) 543-3970 NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below.	OI	₹		L			<u>·</u>
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Signature Date Name Technology Telephone (206) 543-3970 NOTE: Signature of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below.	☐ Applic	cant/Invento	or.				
Name Fiona L. Wills, Technology Manager Signature Date April 5, 2005 Telephone (206) 543-3970 NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below.							
Signature Date .'April 5, 2005 Telephone (206) 543-3970 NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below.			SIGNATURE of Applic	ant or As	signee of Record	1	•
Signature DateApril 5, 2005 Telephone (206) 543-3970 NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below.	Name	Fio	na Wills), Techn	01000	Manager	······································	
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NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below.	Date	. Apr	i1 5, 2005		Telephone	(206) 5	43-3970
				re interest o	r their representative		
			forms are submitted.	<u> </u>			



STATEMENT UNDER 37 CFR 3.73(b)

Applicant/Patent Owner: Krzysztof Palczewski and Vladimir Kuksa						
Application No./Patent No.: 10/801,078 Filed/Issue Date: March 15, 2004						
Entitled: STABILIZED MUTANT OPSIN PROTEINS						
University of Washington a university						
(Name of Assignee) (Type of Assignee, e.g., corporation, par	tnership, university, government agency, etc.)					
states that it is:						
1. the assignee of the entire right, title, and interest; or						
an assignee of less than the entire right, title and interest. The extent (by, percentage) of its ownership interest is%						
in the patent application/patent identified above by virtue of either:						
A. An assignment from the inventor(s) of the patent application/patent identified recorded in the United States Patent and Trademark Office at Reel 015627, F thereof is attached.	above. The assignment was Frame <u>0798</u> , or for which a copy					
OR						
B. A chain of title from the inventor(s), of the patent application/patent identified shown below:	above, to the current assignee as					
1. From:						
The document was recorded in the United States Patent and Trademark						
Reel, Frame, or for which a copy thereof is attached.						
2. From: To :						
The document was recorded in the United States Patent and Trademark	Office at					
Reel, Frame, or for which a copy thereof is attached.						
3. From: To :						
The document was recorded in the United States Patent and Trademark	Office at					
Reel, Frame, or for which a copy thereof is attached.						
☐ Additional documents in the chain of title are listed on a supplemental st	neet.					
Copies of assignments or other documents in the chain of title are attached. [NOTE: A separate copy (i.e., the original assignment document or a true copy of the original document) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.8]						
The undersigned (whose title is supplied below) is authorized to act on behalf of the	assignee.					
April 5, 2005 Fiona L. Date (206) 543-3970	Wills or printed fame					
·	Signature					
Technolo	gy Manager					
	Title					

Attorney Docket No.: 016336-002020US Client Ref. No.: 2556-3751-5002PT

ASSIGNMENT OF PATENT APPLICATION

JOINT

WHEREAS, Krzysztof Palczewski of 3624 - 113th Ave. N.E., Bellevue, WA 98004; and Vladimir Kuksa of 5017 15th Ave. N.E., Apt. 101, Seattle, WA 98105; hereinafter referred to as "Assignors," are the inventors of the invention described and set forth in the below-identified application for United States Letters Patent:

Title of Invention:

STABILIZED MUTANT OPSIN PROTEINS

Date(s) of execution of Declaration:

Filing Date:

March 15, 2004

Application No.:

10/801,078

; and

WHEREAS, University of Washington, a corporation of the state of Washington, located at UW TechTransfer - Invention Licensing, 4311 - 11th Avenue N.E., Suite 500, Campus Box 354990, Seattle, WA 98105-4608, hereinafter referred to as "ASSIGNEE," is desirous of acquiring an interest in the invention and application and in any U.S. Letters Patent and Registrations which may be granted on the same;

For good and valuable consideration, receipt of which is hereby acknowledged by Assignors, Assignors have assigned, and by these presents do assign to Assignee all right, title and interest in and to the invention and application and to all foreign counterparts (including patent, utility model and industrial designs), and in and to any Letters Patent and Registrations which may hereafter be granted on the same in the United States and all countries throughout the world, and to claim the priority from the application as provided by the Paris Convention. The right, title and interest is to be held and enjoyed by Assignee and Assignee's successors and assigns as fully and exclusively as it would have been held and enjoyed by Assignors had this Assignment not been made, for the full term of any Letters Patent and Registrations which may be granted thereon, or of any division, renewal, continuation in whole or in part, substitution, conversion, reissue, prolongation or extension thereof.

Assignors further agree that they will, without charge to Assignee, but at Assignee's expense, (a) cooperate with Assignee in the prosecution of U.S. Patent applications and foreign counterparts on the invention and any improvements, (b) execute, verify, acknowledge and deliver all such further papers, including patent applications and instruments of transfer, and (c) perform such other acts as Assignee lawfully may request to obtain or maintain Letters Patent and Registrations for the invention and improvements in any and all countries, and to vest title thereto in Assignee, or Assignee's successors and assigns.

IN TESTIMONY WHEREOF, Assignors have signed their names on the dates indicated.

Dated: May 11 Losq

Krzysztof Palczewski

Assignment Attorney Docket No.: 016336-002020US Page 2	
STATE OF WASHINGTON)	
COUNTY OF KING) ss.	· ·
basis of satisfactory evidence) to be the person acknowledged to me that he executed the same is instrument the person, or the entity upon behalf of wh WITNESS my hand and official seal.	wski , personally known to me (or proved to me on the whose name is subscribed to the within instrument, and his authorized capacity, and that by his signature on the
My Commission Expires: 9/13/2005 Dated:	
	Vladimir Kuksa
STATE OF WASHINGTON) COUNTY OF KING) ss.	
On, t	pefore me,
basis of satisfactory evidence) to be the person	perfore me,, personally known to me (or proved to me on the whose name is subscribed to the within instrument, and his authorized capacity, and that by his signature on the ich the person acted, executed the instrument.
	NOTARY PUBLIC
My Commission Expires:	

Assignment Attorney Docket No.: 016336-002020US Page 2
STATE OF WASHINGTON) ss.
COUNTY OF KING)
On, before me,, personally appeared Krzysztof Palczewski, personally known to me (or proved to me on the
basis of satisfactory evidence) to be the person whose name is subscribed to the within instrument, and acknowledged to me that he executed the same in his authorized capacity, and that by his signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.
WITNESS my hand and official seal.
NOTARY PUBLIC
My Commission Expires:
Dated: 05/06/04 Kylly Vadimir Kuksa
STATE OF WASHINGTON)
COUNTY OF KING) ss.
on May 6, 2006, before me, Vonne Caff. personally appeared Vladimir Kuksa, personally known to me (or proved to me on the
personally appeared Vladimir Kuksa, personally known to me (or proved to me on the basis of satisfactory evidence) to be the person whose name is subscribed to the within instrument, and acknowledged to me that he executed the same in his authorized capacity, and that by his signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.
WITNESS my hand and official seal.
NOTARY PUBLIC
My Commission Expires: $\sqrt{\frac{1}{2}}$.

POWER OF ATTORNEY and CORRESPONDENCE ADDRESS INDICATION FORM

Application Number	10/801,078		
Filing Date	March 15, 2004		
First Named Inventor	Krzysztof Palczewski et al.		
Title	STABILIZED MUTANT OPSIN PROTEINS		
Art Unit	1614		
Examiner Name	Unassigned		
Attorney Docket Number	16336-002020US		

I hereby ap	point:					
Practitioners associated with the Customer Number		20350				
OR						
Practition	oner(s) na	med below:				_
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as my/our at Patent and 1	torney(s) o Frademark	or agent(s) to prosecute the application Office connected therewith.	identified	d above, and to tra	nsact all business	s in the United States
Please recog	gnize or ch	ange the correspondence address for	the above	e-identified applica	ition to:	
	ess associ	ated with the above-mentioned Custor	mer Numl	oer:		
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Address						
City			State		ZIP	
Country						
Telephone			Fax			
I am the:	ant/Invento	or.				
		rd of the entire interest. See 37 CFR 3 37 CFR 3.73(b) is enclosed. (Form PT				
		SIGNATURE of Applic	ant or As	ssignee of Record	d	·
Name Anthony L. Strauss, Acting Asstistant VP						
Signature (II). Laura						
Date		3-21-05		Telephone	612-624-	0550
		inventors or assignees of record of the enti- ature is required, see below*.	re interest	or their representativ		
☐ *Total of		forms are submitted.				



STATEMENT UNDER 37 CFR 3.73(b)

Applicant/F	Applicant/Patent Owner: Shalesh Kaushal and Syed M. Noorwez					
• •	Application No./Patent No.: 10/801,078 Filed/Issue Date: March 15, 2004					
Entitled: S	STABILIZED MUTANT OPSIN PROTEINS					
Regents of	f the University of Minnesota, a university					
(Name of Ass	signee) (Type of A	ssignee, e.g., corporat	ion, partnership, university, government agency, etc.)			
states that	it is:					
1. 🛛	the assignee of the entire right, title, and interest; or					
2.	an assignee of less than the entire right, title a The extent (by, percentage) of its ownership in	terest is%				
in the pate	nt application/patent identified above by virtue of	either:				
reco	assignment from the inventor(s) of the patent apported in the United States Patent and Trademark eof is attached.					
OR						
	nain of title from the inventor(s), of the patent app wn below:	lication/patent ider	ntified above, to the current assignee as			
1	From:	To ·				
••	The document was recorded in the United State					
	Reel, Frame, or for which a copy t	hereof is attached				
2.	From:	To:				
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	Reel, Frame, or for which a copy t	hereof is attached	•			
3.	From:	To :				
	The document was recorded in the United State					
	Reel, Frame, or for which a copy t	hereof is attached				
	Additional documents in the chain of title are listed on a supplemental sheet.					
☐ Copies	s of assignments or other documents in the chain	of title are attache	d.			
[NOTE: A separate copy (i.e., the original assignment document or a true copy of the original document) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.8]						
The under	signed (whose title is supplied below) is authorize	ed to act on behalf	of the assignee.			
	3-21-05	Anthony	L. Strauss			
•	Date		yped or printed pame.			
	612-624-0550	C'-	17-10ame			
•	Telephone number		Signature			
	Actina	Assistant	Vice President			
			Title			

Attorney Docket No.: 016336-002020US UW Ref. No.: 2556-3751-5002PT UM Invention Docket No.: 201193

ASSIGNMENT OF PATENT APPLICATION

JOINT

WHEREAS, Shalesh Kaushal of 6205 NW 818t Dr. Cairesville, Fe, and Syed M. Noorwez of 3461 Sw. 2 Ave #250, Gainesville, FL, hereinafter referred to as "Assignors," are the inventors of the invention described and set forth in the below-identified application for United States Letters Patent:

Title of Invention:

STABILIZED MUTANT OPSIN PROTEINS

Date(s) of execution of Declaration:

Filing Date:

March 15, 2004

Application No.:

10/801,078

; and

WHEREAS, Regents of the University of Minnesota, a corporation of the state of Minnesota, located at Regents of the University of Minnesota, 600 McNamara Alumni Center, 200 Oak Street SE, Minneapolis, Minnesota 55455, hereinafter referred to as "ASSIGNEB," is desirous of acquiring an interest in the invention and application and in any U.S. Letters Patent and Registrations which may be granted on the same;

For good and valuable consideration, receipt of which is hereby acknowledged by Assignors, Assignors have assigned, and by these presents do assign to Assignee all right, title and interest in and to the invention and application and to all foreign counterparts (including patent, utility model and industrial designs), and in and to any Letters Patent and Registrations which may hereafter be granted on the same in the United States and all countries throughout the world, and to claim the priority from the application as provided by the Paris Convention. The right, title and interest is to be held and enjoyed by Assignee and Assignee's successors and assigns as fully and exclusively as it would have been held and enjoyed by Assignors had this Assignment not been made, for the full term of any Letters Patent and Registrations which may be granted thereon, or of any division, renewal, continuation in whole or in part, substitution, conversion, reissue, prolongation or extension thereof.

Assignors further agree that they will, without charge to Assignee, but at Assignee's expense, (a) cooperate with Assignee in the prosecution of U.S. Patent applications and foreign counterparts on the invention and any improvements, (b) execute, verify, acknowledge and deliver all such further papers, including patent applications and instruments of transfer, and (c) perform such other acts as Assignee lawfully may request to obtain or maintain Letters Patent and Registrations for the invention and improvements in any and all countries, and to vest title thereto in Assignee, or Assignee's successors and assigns.

IN TESTIMONY WHEREOF, Assignors have signed their names on the dates indicated.

Dated: 🗲

7/14/04

Shalesh Kaushal
Shalesh Kaushal

fore me
fore me,, personally known to me (or proved to me on the hose name is subscribed to the within instrument, and his/her authorized capacity, and that by his/her signature on hich the person acted, executed the instrument.
n Elain A llew NOTARY PUBLIC
S. M. Noorwez Syed M. Noorwez
personally known to me (or proved to me on the hose name is subscribed to the within instrument, and his authorized capacity, and that by his signature on the the person acted, executed the instrument.
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